Post-quantum cryptography

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"In the past, people have said, maybe it's 50 years away, it's a dream, maybe it'll happen sometime. I used to think it was 50. Now I'm thinking like it's 15 or a little more. It's within reach. It's within our lifetime. It's going to happen."

-Mark Ketchen (IBM), Feb. 2012, about quantum computers

"Whether we can control the quantum states and all of that at the fundamental level has now been proven. The big killer is, at what point do we build a processor big enough thats it's faster than a classical computer?

That means moving away from small scale models to integrated processing devices and prototypes. That's the challenge, and that can be done, we anticipate, within the next decade."

-Michelle Simmons (UNSW), Jan. 2016

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- Factor integers in polynomial time
- Compute discrete logarithms in polynomial time
- Complete break of RSA, ElGamal, DSA, Diffie-Hellman
- ▶ Complete break of elliptic-curve variants (ECSDA, ECDH, ...)

Alternative, "post-quantum" PKC

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- Security less well understood (for some)
- Additional issues (e.g., stateful hash-based signing)

NIST post-quantum crypto project

- ▶ NIST issued a (draft) call for PQC proposals
- Submissions for
 - PQ signatures
 - PQ encryption
 - PQ key agreement
- Submission deadline: November 2017
- Submitters' presentations: Early 2018
- ▶ 3–5 years of analysis
- ▶ 2 years later: draft standards ready
- See http://csrc.nist.gov/groups/ST/post-quantum-crypto/

PQCRYPTO

- Project funded by EU in Horizon 2020.
- Starting date 1 March 2015, runs for 3 years.
- ▶ 11 partners from academia and industry, TU/e is coordinator
- Goal: Design and implement high-security post-quantum PKC





Estimated numbers

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- Consequence:

Need post-quantum encryption now!

How about PFS?

"Perfect Forward Secrecy":

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- Does not help against cryptanalytic break
- Attacker breaks (in poly time) each single ephemeral key exchange
- As a consequence, we want
 - ephemeral key exchange (to protect against key compromise)
 - post-quantum security (to protect against future quantum attacker)

POST-QUANTUM KEY EXCHANGE





ERDEM ALKIM LÉO DUCAS THOMAS PÖPPELMANN PETER *S*CHWABE

Ring-Learning-with-errors (RLWE)

- Let $\mathcal{R}_q = \mathbb{Z}_q[X]/(X^n + 1)$
- Let χ be an *error distribution* on \mathcal{R}_q
- ▶ Let $\mathbf{s} \in \mathcal{R}_q$ be secret
- \blacktriangleright Attacker is given pairs $({\bf a}, {\bf as}+{\bf e})$ with
 - a uniformly random from \mathcal{R}_q
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- Common choice for χ : discrete Gaussian
- Common optimization for protocols: fix a

A bit of (R)LWE history

- Regev, 2005: Introduce LWE-based encryption
- Lyubashevsky, Peikert, Regev, 2010: Ring-LWE and Ring-LWE encryption
- ▶ Ding, Xie, Lin, 2012: Transform to (R)LWE-based key exchange
- ▶ Peikert, 2014: Improved RLWE-based key exchange
- Bos, Costello, Naehrig, Stebila, 2015: Instantiate and implement Peikert's KEX in TLS

Peikert's RLWE-based KEM

| Parameters: q, n, χ | | |
|--|---------------------------------------|--|
| KEM.Setup(): | | |
| $\mathbf{a} \stackrel{\hspace{0.1em}\scriptscriptstyle\$}{\leftarrow} \mathcal{R}_q$ | | |
| Alice (server) | | Bob (client) |
| $KEM.Gen(\mathbf{a}):$ | | $KEM.Encaps(\mathbf{a},\mathbf{b}):$ |
| $\mathbf{s}, \mathbf{e} \xleftarrow{\hspace{0.15cm} \$} \chi$ | | $\mathbf{s}', \mathbf{e}', \mathbf{e}'' \xleftarrow{\hspace{0.1in} \$} \chi$ |
| $\mathbf{b}{\leftarrow}\mathbf{as}+\mathbf{e}$ | $\xrightarrow{\mathbf{b}}$ | $\mathbf{u}{\leftarrow}\mathbf{a}\mathbf{s}'+\mathbf{e}'$ |
| | | $\mathbf{v} {\leftarrow} \mathbf{b} \mathbf{s}' + \mathbf{e}''$ |
| | | $\bar{\mathbf{v}} \xleftarrow{\hspace{0.15cm}} dbl(\mathbf{v})$ |
| $KEM.Decaps(\mathbf{s},(\mathbf{u},\mathbf{v}')):$ | $\xleftarrow{\mathbf{u},\mathbf{v}'}$ | $\mathbf{v}'=\langlear{\mathbf{v}} angle_2$ |
| $\mu{\leftarrow}rec(2\mathbf{us},\mathbf{v'})$ | | $\mu \leftarrow \lfloor ar{\mathbf{v}} ceil_2$ |

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Observe: $2\mathbf{us} = 2\mathbf{ass'} + 2\mathbf{e's} \approx 2\mathbf{ass'} + 2\mathbf{es'} + 2\mathbf{e''} \approx \bar{\mathbf{v}}$

BCNS key exchange

▶ Bos, Costello, Naehrig, Stebila, IEEE S&P 2015:

- Phrase the KEM as key exchange
- Instantiate with concrete parameters
- \blacktriangleright Integrate with OpenSSL \rightarrow post-quantum TLS key exchange
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- Parameters chosen by BCNS:

$$\blacktriangleright \ \mathcal{R}_q = \mathbb{Z}_q[X]/(X^n + 1)$$

▶
$$q = 2^{32} - 1$$

$$\chi = D_{\mathbb{Z},\sigma}$$

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$$\sigma = 8/\sqrt{2\pi} \approx 3.192$$
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- ▶ Claimed security level: 128 bits pre-quantum
- Failure probability: $\approx 2^{-131072}$

- Improve failure analysis and error reconciliation
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- Encode polynomials in NTT domain
- Multiple implementations

A new hope – protocol

| Parameters: $q = 12289 < 2^{14}, n = 1024$ | | | | | | |
|--|--|--|--|--|--|--|
| Error distribution: ψ_{16} | | | | | | |
| Alice (server) | | Bob (client) | | | | |
| $seed \stackrel{\hspace{0.1em}{\leftarrow}}{\leftarrow} \{0,1\}^{256}$ | | | | | | |
| $\mathbf{a} {\leftarrow} Parse(SHAKE{-}128(\mathit{seed}))$ | | | | | | |
| $\mathbf{s}, \mathbf{e} \stackrel{\hspace{0.1em}\scriptscriptstyle\$}{\leftarrow} \psi_{16}^n$ | | $\mathbf{s}', \mathbf{e}', \mathbf{e}'' \stackrel{\$}{\leftarrow} \psi_{16}^n$ | | | | |
| $\mathbf{b}{\leftarrow}\mathbf{as}+\mathbf{e}$ | $\xrightarrow{(\mathbf{b}, seed)}$ | $\mathbf{a} {\leftarrow} Parse(SHAKE{-}128(\mathit{seed}))$ | | | | |
| | | $\mathbf{u}{\leftarrow}\mathbf{a}\mathbf{s}'+\mathbf{e}'$ | | | | |
| | | $\mathbf{v}{\leftarrow}\mathbf{b}\mathbf{s}'+\mathbf{e}''$ | | | | |
| $\mathbf{v'}{\leftarrow}\mathbf{us}$ | $\stackrel{(\mathbf{u},\mathbf{r})}{\longleftarrow}$ | $\mathbf{r} \xleftarrow{\hspace{0.15cm}}{\hspace{0.15cm}} HelpRec(\mathbf{v})$ | | | | |
| $k \leftarrow Rec(\mathbf{v}', \mathbf{r})$ | | $k {\leftarrow} Rec(\mathbf{v},\mathbf{r})$ | | | | |
| $\mu {\leftarrow} SHA3-256(k)$ | | $\mu \leftarrow SHA3-256(k)$ | | | | |

- After running the protocol
 - Alice has $\mathbf{x}_A = \mathbf{ass}' + \mathbf{e's}$
 - Bob has $\mathbf{x}_B = \mathbf{ass}' + \mathbf{es}' + \mathbf{e}''$
- Those elements are similar, but not the same
- ▶ Problem: How to agree on *the same* key from these noisy vectors?

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- ▶ In the following: 2-dimensional intuition (4-dim. case very similar)
- "Scale" vector \mathbf{x} to $[0,1)^2$





If x is in the grey Voronoi cell: pick key bit 1
If x is in the white Voronoi cell: pick key bit 0



- \blacktriangleright If ${\bf x}$ is in the grey Voronoi cell: pick key bit 1
- \blacktriangleright If ${\bf x}$ is in the white Voronoi cell: pick key bit 0
- ► Reconciliation: Bob sends difference vector from **x**_B to center of his Voronoi cell
- Alice adds this difference vector to her vector x_A

Discretization of reconciliation



- Sending difference vector means doubling communcation
- Idea: chop Voronoi cell into 2^{dr} subcells
 - ▶ *d*: dimension (4 for NewHope, 2 in this picture)
 - r: discretization level
- Need to send only rd bits per d coefficients
- ▶ NewHope: r = 2; hence 256 bytes of reconciliation information

- \blacktriangleright This would all work if ${\bf x}$ was continuous uniform from [0,1)
- \blacktriangleright We start with $\mathbf{x} \in \{0,\ldots,q-1\}^2$, q odd
- Odd number of possible values; no way to pick key bit without bias!
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- Dual attack: find short vector in dual lattice
- Length determines complexity and attacker's advantage ϵ

JarJar

"I don't like is the way that the parameters are set $[\ldots]$ I think that setting them too high impedes research."

-anonymous reviewer

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- ▶ JarJar: instantiation with n = 512
- ▶ Same q = 12289
- Use root lattice D_2 instead of D_4
- Use k = 24 for the centered binomial distribution

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- ▶ Same q = 12289
- ▶ Use root lattice D₂ instead of D₄
- Use k = 24 for the centered binomial distribution

JarJar is not recommended for use!

Post-quantum security

| | | | Known | Known | Best | |
|---|------|-----|-----------|---------|-----------|--|
| Attack | m | b | Classical | Quantum | Plausible | |
| BCNS proposal: $q = 2^{32} - 1$, $n = 1024$, $\sigma = 3.192$ | | | | | | |
| Primal | 1062 | 296 | 86 | 78 | 61 | |
| Dual | 1055 | 296 | 86 | 78 | 61 | |
| JarJar: $q = 12289$, $n = 512$, $\sigma = \sqrt{12}$ | | | | | | |
| Primal | 623 | 449 | 131 | 119 | 93 | |
| Dual | 602 | 448 | 131 | 118 | 92 | |
| NewHope: $q = 12289$, $n = 1024$, $\sigma = \sqrt{8}$ | | | | | | |
| Primal | 1100 | 967 | 282 | 256 | 200 | |
| Dual | 1099 | 962 | 281 | 255 | 199 | |

- ► *b*: Block size for BKZ
- ▶ *m*: Number of used samples

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- What if a is backdoored?
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- Server can cache a for some time (e.g., 1h)
- Must not reuse keys/noise!

NTT-based multiplication

- Most costly arithmetic operations: multiplication in \mathcal{R}_q
- ► Idea behind selecting *n* and *q*: fast negacyclic number-theoretic transform (NTT)
- This requires that 2n divides q-1
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- NTT takes $\frac{n}{2}\log(n)$ "butterfly operations"
- Butterflies are one addition, one subtraction, one multiplication by constant

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- AVX2 implementation:
 - Speed up NTT using vectorized double arithmetic
 - Use AES-256 for noise sampling
 - Use AVX2 for centered binomial

The protocol revisited

| Parameters: $q = 12289 < 2^{14}$, | n = 1024 | |
|---|---|--|
| Error distribution: ψ_{16}^n | | |
| Alice (server) | | Bob (client) |
| $seed \stackrel{\$}{\leftarrow} \{0, \dots, 255\}^{32}$ | | |
| $\hat{\mathbf{a}} \leftarrow Parse(SHAKE\text{-}128(seed))$ | | |
| $\mathbf{s}, \mathbf{e} \stackrel{\$}{\leftarrow} \psi_{16}^n$ | | $\mathbf{s}', \mathbf{e}', \mathbf{e}'' \stackrel{\$}{\leftarrow} \psi_{16}^n$ |
| $\hat{\mathbf{s}} \leftarrow NTT(\mathbf{s})$ | | |
| $\hat{\mathbf{b}} {\leftarrow} \hat{\mathbf{a}} \circ \hat{\mathbf{s}} + NTT(\mathbf{e})$ | $\xrightarrow[n_a = \text{encodeA}(seed, \hat{\mathbf{b}})){1824 \text{ Bytes}}$ | $(\hat{\mathbf{b}}, seed) \leftarrow decodeA(m_a)$ |
| | | $\hat{\mathbf{a}} {\leftarrow} Parse(SHAKE{-}128(\mathit{seed}))$ |
| | | $\hat{\mathbf{t}} {\leftarrow} NTT(\mathbf{s}')$ |
| | | $\hat{\mathbf{u}}{\leftarrow}\hat{\mathbf{a}}\circ\hat{\mathbf{t}}+NTT(\mathbf{e}')$ |
| | | $\mathbf{v} {\leftarrow} NTT^{-1}(\hat{\mathbf{b}} \circ \hat{\mathbf{t}}) + \mathbf{e}''$ |
| $(\hat{\mathbf{u}}, \mathbf{r}) \leftarrow decodeB(m_b)$ | $\underbrace{ \begin{array}{c} m_b = encodeB(\hat{\mathbf{u}},\mathbf{r}) \\ 2048 \text{ Bytes} \end{array} }_{}$ | $\mathbf{r} \xleftarrow{\hspace{0.15cm}} HelpRec(\mathbf{v})$ |
| $\mathbf{v}' \leftarrow NTT^{-1}(\hat{\mathbf{u}} \circ \hat{\mathbf{s}})$ | | $k \leftarrow Rec(\mathbf{v}, \mathbf{r})$ |
| $k \leftarrow Rec(\mathbf{v}', \mathbf{r})$ | | $\mu {\leftarrow} SHA3-256(k)$ |
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| Key generation (server) | ≈ 2477958 | 258246 | 88 920 |
| | | (258965) | (89079) |
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- Benchmarks on one core of an Intel i7-4770K (Haswell)
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- Also optimize other building blocks of NewHope

ARM Cortex-M results

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- Plan: Merge these proposals

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- How about smaller n (e.g., $n \approx 800$)?

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- How about NTRU Prime?
 - Paper by Bernstein, Chuengsatiansup, Lange, van Vredendaal
 - See http://eprint.iacr.org/2016/461
 - Useful for ephemeral key exchange?

NewHope online

Paper: Software: ARM Paper: ARM software: Newhope in Go: Newhope in Rust:

Newhope in Java:

Newhope in Erlang:

https://cryptojedi.org/papers/#newhope https://cryptojedi.org/crypto/#newhope https://cryptojedi.org/papers/#newhopearm https://github.com/newhopearm/newhopearm.git https://github.com/Yawning/newhope (by Yawning Angel) https://code.ciph.re/isis/newhopers (by Isis Lovecruft) https://github.com/rweather/newhope-java (by Rhys Weatherley) https://github.com/ahf/luke (by Alexander Færøy)