## Post-quantum cryptography

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"In the past, people have said, maybe it's 50 years away, it's a dream, maybe it'll happen sometime. I used to think it was 50. Now I'm thinking like it's 15 or a little more. It's within reach. It's within our lifetime. It's going to happen."
—Mark Ketchen (IBM), Feb. 2012, about quantum computers
"Whether we can control the quantum states and all of that at the fundamental level has now been proven. The big killer is, at what point do we build a processor big enough thats it's faster than a classical computer?

That means moving away from small scale models to integrated processing devices and prototypes. That's the challenge, and that can be done, we anticipate, within the next decade."
—Michelle Simmons (UNSW), Jan. 2016

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## Shor's algorithm (1994)

- Factor integers in polynomial time
- Compute discrete logarithms in polynomial time
- Complete break of RSA, EIGamal, DSA, Diffie-Hellman
- Complete break of elliptic-curve variants (ECSDA, ECDH, ...)

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- Larger keys, signatures, ciphertexts (for some)
- Security less well understood (for some)
- Additional issues (e.g., stateful hash-based signing)


## NIST post-quantum crypto project

- NIST issued a (draft) call for PQC proposals
- Submissions for
- PQ signatures
- PQ encryption
- PQ key agreement
- Submission deadline: November 2017
- Submitters' presentations: Early 2018
- 3-5 years of analysis
- 2 years later: draft standards ready
- See http://csrc.nist.gov/groups/ST/post-quantum-crypto/


## PQCRYPTO

- Project funded by EU in Horizon 2020.
- Starting date 1 March 2015, runs for 3 years.
- 11 partners from academia and industry, TU/e is coordinator
- Goal: Design and implement high-security post-quantum PKC


DTU Dammarks Tekniske Universttet

## KULEUVEN



University of Haifa

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- Store encrypted data now
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- Consequence:

Need post-quantum encryption now!

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- Does not help against cryptanalytic break
- Attacker breaks (in poly time) each single ephemeral key exchange
- As a consequence, we want
- ephemeral key exchange (to protect against key compromise)
- post-quantum security (to protect against future quantum attacker)


## POST-QUANIUM KEY EXCHANGE

ヨРDヨM ALKMLÉo buaxs

## Ring-Learning-with-errors (RLWE)

- Let $\mathcal{R}_{q}=\mathbb{Z}_{q}[X] /\left(X^{n}+1\right)$
- Let $\chi$ be an error distribution on $\mathcal{R}_{q}$
- Let $\mathbf{s} \in \mathcal{R}_{q}$ be secret
- Attacker is given pairs ( $\mathbf{a}, \mathbf{a s}+\mathbf{e}$ ) with
- a uniformly random from $\mathcal{R}_{q}$
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- Common optimization for protocols: fix a


## A bit of (R)LWE history

- Regev, 2005: Introduce LWE-based encryption
- Lyubashevsky, Peikert, Regev, 2010: Ring-LWE and Ring-LWE encryption
- Ding, Xie, Lin, 2012: Transform to (R)LWE-based key exchange
- Peikert, 2014: Improved RLWE-based key exchange
- Bos, Costello, Naehrig, Stebila, 2015: Instantiate and implement Peikert's KEX in TLS


## Peikert's RLWE-based KEM



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Observe: $2 \mathbf{u s}=2 \mathbf{a s s}^{\prime}+2 \mathbf{e}^{\prime} \mathbf{s} \approx 2 \mathbf{a s s}^{\prime}+2 \mathbf{e s}^{\prime}+2 \mathbf{e}^{\prime \prime} \approx \overline{\mathbf{v}}$

## BCNS key exchange

- Bos, Costello, Naehrig, Stebila, IEEE S\&P 2015:
- Phrase the KEM as key exchange
- Instantiate with concrete parameters
- Integrate with OpenSSL $\rightarrow$ post-quantum TLS key exchange
- Also: combined ECDH+RLWE key exchange


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- Parameters chosen by BCNS:
- $\mathcal{R}_{q}=\mathbb{Z}_{q}[X] /\left(X^{n}+1\right)$
- $n=1024$
- $q=2^{32}-1$
- $\chi=D_{\mathbb{Z}, \sigma}$
- $\sigma=8 / \sqrt{2 \pi} \approx 3.192$


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- $\chi=D_{\mathbb{Z}, \sigma}$
- $\sigma=8 / \sqrt{2 \pi} \approx 3.192$
- Claimed security level: 128 bits pre-quantum
- Failure probability: $\approx 2^{-131072}$


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- Choose parameters for failure probability $\approx 2^{-60}$


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- Encode polynomials in NTT domain
- Multiple implementations


## A new hope - protocol

Parameters: $q=12289<2^{14}, n=1024$
Error distribution: $\psi_{16}$

$$
\begin{array}{r}
\text { Alice (server) } \\
\text { seed } \stackrel{\$}{\leftarrow}\{0,1\}^{256}
\end{array}
$$

Bob (client)
$\mathbf{a} \leftarrow \operatorname{Parse}($ SHAKE-128(seed))

$$
\begin{array}{rll}
\mathbf{s}, \mathbf{e} \stackrel{\$}{\leftarrow} \psi_{16}^{n} & & \mathbf{s}^{\prime}, \mathbf{e}^{\prime}, \mathbf{e}^{\prime \prime} \stackrel{\$}{\leftarrow} \psi_{16}^{n} \\
\mathbf{b} \leftarrow \mathbf{a s}+\mathbf{e} & \stackrel{(\mathbf{b}, \text { seed })}{\longrightarrow} & \mathbf{a} \leftarrow \operatorname{Parse}(\operatorname{SHAKE}-128(\text { seed })) \\
& & \mathbf{u} \leftarrow \mathbf{a s}^{\prime}+\mathbf{e}^{\prime} \\
& & \mathbf{v} \leftarrow \mathbf{b s}^{\prime}+\mathbf{e}^{\prime \prime} \\
\mathbf{v}^{\prime} \leftarrow \mathbf{u s} & \stackrel{(\mathbf{u}, \mathbf{r})}{ } & \mathbf{r} \leftarrow \operatorname{HelpRec}(\mathbf{v}) \\
k \leftarrow \operatorname{Rec}\left(\mathbf{v}^{\prime}, \mathbf{r}\right) & & k \leftarrow \operatorname{Rec}(\mathbf{v}, \mathbf{r}) \\
\mu \leftarrow \operatorname{SHA} 3-256(k) & & \mu \leftarrow \operatorname{SHA} 3-256(k)
\end{array}
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## Error reconciliation

- After running the protocol
- Alice has $\mathbf{x}_{A}=\mathbf{a s s}^{\prime}+\mathbf{e}^{\prime} \mathbf{s}$
- Bob has $\mathbf{x}_{B}=\mathbf{a s s}^{\prime}+\mathbf{e s}^{\prime}+\mathbf{e}^{\prime \prime}$
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- Specifically: 1 bit from 4 coefficients $\rightarrow 256$-bit key from 1024 coefficients


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- In the following: 2-dimensional intuition (4-dim. case very similar)
- "Scale" vector x to $[0,1)^{2}$


## 2D Error reconciliation



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- If $\mathbf{x}$ is in the grey Voronoi cell: pick key bit 1
- If $\mathbf{x}$ is in the white Voronoi cell: pick key bit 0


## 2D Error reconciliation



- If x is in the grey Voronoi cell: pick key bit 1
- If $\mathbf{x}$ is in the white Voronoi cell: pick key bit 0
- Reconciliation: Bob sends difference vector from $\mathbf{x}_{B}$ to center of his Voronoi cell
- Alice adds this difference vector to her vector $\mathbf{x}_{A}$


## Discretization of reconciliation



- Sending difference vector means doubling communcation
- Idea: chop Voronoi cell into $2^{d r}$ subcells
- d: dimension ( 4 for NewHope, 2 in this picture)
- $r$ : discretization level
- Need to send only $r d$ bits per $d$ coefficients
- NewHope: $r=2$; hence 256 bytes of reconciliation information


## "Blurring the edges"

- This would all work if $\mathbf{x}$ was continuous uniform from $[0,1)$
- We start with $\mathbf{x} \in\{0, \ldots, q-1\}^{2}, q$ odd
- Odd number of possible values; no way to pick key bit without bias!
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- Attack using BKZ
- BKZ uses SVP oracle in smaller dimension
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- Dual attack: find short vector in dual lattice
- Length determines complexity and attacker's advantage $\epsilon$


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JarJar is not recommended for use!

## Post-quantum security

| Attack | $m$ | $b$ | Known <br> Classical | Known <br> Quantum | Best <br> Plausible |
| :--- | :---: | :---: | :---: | :---: | :---: |
| BCNS proposal: $q=2^{32}-1, n=1024, \sigma=3.192$ |  |  |  |  |  |
| Primal | 1062 | 296 | 86 | 78 | 61 |
| Dual | 1055 | 296 | 86 | 78 | 61 |
| JarJar: $q=12289, n=512, \sigma=\sqrt{12}$ |  |  |  |  |  |
| Primal | 623 | 449 | 131 | 119 | 93 |
| Dual | 602 | 448 | 131 | 118 | 92 |
| NewHope: $q=12289, n=1024, \sigma=\sqrt{8}$ |  |  |  |  |  |
| Primal | 1100 | 967 | 282 | 256 | 200 |
| Dual | 1099 | 962 | 281 | 255 | 199 |

- b: Block size for BKZ
- $m$ : Number of used samples


## Against all authority

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- What if $\mathbf{a}$ is backdoored?
- Parameter-generating authority can break key exchange
- "Solution": Nothing-up-my-sleeves (involves endless discussion!)


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- Server can cache a for some time (e.g., 1h)
- Must not reuse keys/noise!


## NTT-based multiplication

- Most costly arithmetic operations: multiplication in $\mathcal{R}_{q}$
- Idea behind selecting $n$ and $q$ : fast negacyclic number-theoretic transform (NTT)
- This requires that $2 n$ divides $q-1$
- Note that $2 n=2^{11}$ divides $12288=2^{13}+2^{12}$


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- Note that $2 n=2^{11}$ divides $12288=2^{13}+2^{12}$
- To multiply $\mathbf{f}$ and g in $\mathcal{R}_{q}$ :
- Compute $\hat{\mathbf{f}}=\operatorname{NTT}(\mathbf{f})$
- Compute $\hat{\mathbf{g}}=$ NTT (g)


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- To multiply $\mathbf{f}$ and $\mathbf{g}$ in $\mathcal{R}_{q}$ :
- Compute $\hat{\mathbf{f}}=$ NTT(f)
- Compute $\hat{\mathrm{g}}=$ NTT(g)
- $\hat{\mathbf{f}}$ and $\hat{g}$ have 1024 coefficients each
- Multiply componentwise to obtain $\hat{\mathbf{r}}$


## NTT-based multiplication

- Most costly arithmetic operations: multiplication in $\mathcal{R}_{q}$
- Idea behind selecting $n$ and $q$ : fast negacyclic number-theoretic transform (NTT)
- This requires that $2 n$ divides $q-1$
- Note that $2 n=2^{11}$ divides $12288=2^{13}+2^{12}$
- To multiply $\mathbf{f}$ and $\mathbf{g}$ in $\mathcal{R}_{q}$ :
- Compute $\hat{\mathbf{f}}=$ NTT(f)
- Compute $\hat{\mathrm{g}}=$ NTT(g)
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- NTT takes $\frac{n}{2} \log (n)$ "butterfly operations"
- Butterflies are one addition, one subtraction, one multiplication by constant


## Implementation

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- Speed up NTT using vectorized double arithmetic
- Use AES-256 for noise sampling
- Use AVX2 for centered binomial


## The protocol revisited

Parameters: $q=12289<2^{14}, n=1024$
Error distribution: $\psi_{16}^{n}$

> Alice (server)
> seed $\stackrel{\&}{\leftarrow}\{0, \ldots, 255\}^{32}$
> $\hat{\mathbf{a}} \leftarrow \operatorname{Parse}($ SHAKE-128 $($ seed $))$
> $\mathrm{s}, \mathrm{e} \stackrel{\stackrel{\leftarrow}{\leftarrow} \psi_{16}^{n}}{\stackrel{1}{2}}$
> $\hat{\mathbf{s}} \leftarrow \operatorname{NTT}(\mathbf{s})$
> $\hat{\mathbf{b}} \leftarrow \hat{\mathbf{a}} \circ \hat{\mathbf{s}}+\operatorname{NTT}(\mathbf{e})$
> $(\hat{\mathbf{u}}, \mathbf{r}) \leftarrow \operatorname{decodeB}\left(m_{b}\right)$
> $\mathbf{v}^{\prime} \leftarrow \mathrm{NTT}^{-1}(\hat{\mathbf{u}} \circ \hat{\mathbf{s}})$
> $k \leftarrow \operatorname{Rec}\left(\mathbf{v}^{\prime}, \mathbf{r}\right)$
> $\mu \leftarrow$ SHA3-256 $(k)$
> $\mathbf{s}^{\prime}, \mathbf{e}^{\prime}, \mathbf{e}^{\prime \prime} \stackrel{\$}{\leftarrow} \psi_{16}^{n}$
> $\xrightarrow[1824 \text { Bytes }]{m_{a}=\text { encodeA }(\text { seed }, \hat{\mathbf{b}})}$
> $(\hat{\mathbf{b}}$, seed $) \leftarrow \operatorname{decodeA}\left(m_{a}\right)$
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> $\mathbf{v} \leftarrow \mathrm{NTT}^{-1}(\hat{\mathbf{b}} \circ \hat{\mathbf{t}})+\mathbf{e}^{\prime \prime}$
> $\mathbf{r} \stackrel{\&}{\leftarrow} \operatorname{HelpRec}(\mathbf{v})$
> $k \leftarrow \operatorname{Rec}(\mathbf{v}, \mathbf{r})$
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## Performance

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| :--- | :---: | ---: | ---: |
| Key generation (server) | $\approx 2477958$ | 258246 | 88920 |
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- Benchmarks on one core of an Intel i7-4770K (Haswell)
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- Also optimize other building blocks of NewHope


## ARM Cortex-M results

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- ... you make sure that you can easily upgrade


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## NewHope in TLS

- Google is running a post-quantum experiment
- Combination of NewHope and X25519 (called CECPQ1)
- Some connections from Chrome Canary to some Google services
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- Plan: Merge these proposals


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- How about NTRU Prime?
- Paper by Bernstein, Chuengsatiansup, Lange, van Vredendaal
- See http://eprint.iacr.org/2016/461
- Useful for ephemeral key exchange?


## NewHope online

Paper:
Software:
ARM Paper:
ARM software:
Newhope in Go:

Newhope in Rust:

Newhope in Java: https://github.com/rweather/newhope-java (by Rhys Weatherley)
Newhope in Erlang: https://github.com/ahf/luke (by Alexander Færøy)

