

Post-quantum cryptography

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Radboud University, The Netherlands



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Santacrypt 2015, Prague, Czech Republic

Crypto in TLS

[illegible]

Lots of choices to make...

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- ▶ What parameters are “secure enough”? 1024-bit RSA? 1024-bit DSA?

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Very hard choices, easy to screw up!

Crypto in TLS that survives a “quantum attack”

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Quantum attacks

Definition

A *quantum attack* is an attack that is (partially) running on a quantum computer.

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Should we be scared (part II)?

"In the past, people have said, maybe it's 50 years away, it's a dream, maybe it'll happen sometime. I used to think it was 50. Now I'm thinking like it's 15 or a little more. It's within reach. It's within our lifetime. It's going to happen."

—Mark Ketchen (IBM), Feb. 2012, about quantum computers

NSA's data center in Bluffdale



NSA's data center in Bluffdale

Estimated numbers

- ▶ Electricity consumption: 65 MW
- ▶ Energy bill: US\$40,000,000/year
- ▶ Storage: 3–12 EB

What will really be broken?

- ▶ RSA (encryption and signatures): dead (Shor)
- ▶ DSA, ElGamal, Schnorr etc.: dead (Shor)
- ▶ ECC (DH, ElGamal, signatures): dead (Shor)

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- ▶ Symmetric encryption: \sqrt{N} -time for single-target key search (Grover)
- ▶ Hashes: \sqrt{N} -time for single-target (second) preimages (Grover)
- ▶ Hashes: \sqrt{N} -time for collision search (same as classical!)

PQCRYPTO

- ▶ Project funded by EU in Horizon 2020.
- ▶ Starting date 1 March 2015, runs for 3 years.
- ▶ 11 partners from academia and industry, TU/e is coordinator:



Radboud Universiteit



TECHNISCHE
UNIVERSITÄT
DARMSTADT



University of Haifa



PQCRYPTO – aims and workpackages

Aims of PQCRYPTO

- ▶ Design a portfolio of high-security post-quantum public-key systems
- ▶ Provide efficient implementations of high-security post-quantum cryptography for a broad spectrum of real-world applications.

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Technical work packages

- ▶ WP1: Post-quantum cryptography for small devices
Leader: Tim Güneysu, co-leader: Peter Schwabe
- ▶ WP2: Post-quantum cryptography for the Internet
Leader: Daniel J. Bernstein, co-leader: Bart Preneel
- ▶ WP3: Post-quantum cryptography for the cloud
Leader: Nicolas Sendrier, co-leader: Lars Knudsen

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Non-technical work packages

- ▶ WP4: Management and dissemination
Leader: Tanja Lange
- ▶ WP5: Standardization
Leader: Walter Fumy

POST-QUANTUM KEY EXCHANGE



A NEW HOPE

ERDEM ALKIM

LÉO DUCAS

THOMAS PÖPPELMANN

PETER SCHWABE

Ring-Learning-with-errors (RLWE)

- ▶ Let $\mathcal{R}_q = \mathbb{Z}_q[X]/(X^n + 1)$
- ▶ Let χ be an *error distribution* on \mathcal{R}_q
- ▶ Let $s \in \mathcal{R}_q$ be secret
- ▶ Attacker is given pairs $(a, as + e)$ with
 - ▶ a uniformly random from \mathcal{R}_q
 - ▶ e sampled from χ
- ▶ Task for the attacker: find s

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- ▶ Common choice for χ : discrete Gaussian
- ▶ Common “optimization” for protocols: fix a (more later)

Peikert's RLWE-based KEM

| | |
|---|---|
| Parameters: q, n, χ | |
| KEM.Setup() : | |
| $\mathbf{a} \xleftarrow{\$} \mathcal{R}_q$ | |
| Alice (server) | Bob (client) |
| KEM.Gen(\mathbf{a}) : | KEM.Encaps(\mathbf{a}, \mathbf{b}) : |
| $\mathbf{s}, \mathbf{e} \xleftarrow{\$} \chi$ | $\mathbf{s}', \mathbf{e}', \mathbf{e}'' \xleftarrow{\$} \chi$ |
| $\mathbf{b} \leftarrow \mathbf{a}\mathbf{s} + \mathbf{e}$ | $\mathbf{u} \leftarrow \mathbf{a}\mathbf{s}' + \mathbf{e}'$ |
| | $\mathbf{v} \leftarrow \mathbf{b}\mathbf{s}' + \mathbf{e}''$ |
| | $\bar{\mathbf{v}} \xleftarrow{\$} \text{dbl}(\mathbf{v})$ |
| KEM.Decaps($\mathbf{s}, (\mathbf{u}, \mathbf{v}')$) : | $\mathbf{v}' = \langle \bar{\mathbf{v}} \rangle_2$ |
| $\mu \leftarrow \text{rec}(2\mathbf{u}\mathbf{s}, \mathbf{v}')$ | $\mu \leftarrow \lfloor \bar{\mathbf{v}} \rfloor_2$ |

Idea: $\mathbf{u}\mathbf{s} = \mathbf{a}\mathbf{s}\mathbf{s}' + \mathbf{e}'\mathbf{s} \approx \mathbf{a}\mathbf{s}\mathbf{s}' + \mathbf{e}\mathbf{s}' + \mathbf{e}'' = \mathbf{v}$

Use \mathbf{v}' to resolve the problems from " \approx " (at least most of the time)

BCNS key exchange

- ▶ Bos, Costello, Naehrig, Stebila, IEEE S&P 2015:
 - ▶ Phrase the KEM as key exchange
 - ▶ Instantiate with concrete parameters
 - ▶ Integrate with OpenSSL → post-quantum TLS key exchange
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- ▶ Parameters chosen by BCNS:
 - ▶ $\mathcal{R}_q = \mathbb{Z}_q[X]/(X^n + 1)$
 - ▶ $n = 1024$
 - ▶ $q = 2^{32} - 1$
 - ▶ $\chi = D_{\mathbb{Z}, \sigma}$
 - ▶ $\sigma = 8\sqrt{2\pi} \approx 3.192$

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 - ▶ $\sigma = 8\sqrt{2\pi} \approx 3.192$
- ▶ Claimed security level: 128 bits *pre-quantum*

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- ▶ Encode polynomials in NTT domain
- ▶ Provide C reference and fast AVX2 implementation

A new hope – protocol

| Parameters: $q = 12289 < 2^{14}$, $n = 1024$ | |
|--|---|
| Error distribution: ψ_{12} | |
| Alice (server) | Bob (client) |
| $seed \xleftarrow{\$} \{0, 1\}^{256}$ | |
| $\mathbf{a} \leftarrow \text{Parse}(\text{SHAKE-128}(seed))$ | |
| $\mathbf{s}, \mathbf{e} \xleftarrow{\$} \psi_8^n$ | $\mathbf{s}', \mathbf{e}', \mathbf{e}'' \xleftarrow{\$} \psi_8^n$ |
| $\mathbf{b} \leftarrow \mathbf{a}\mathbf{s} + \mathbf{e}$ | $\xrightarrow{(\mathbf{b}, seed)}$ |
| | $\mathbf{a} \leftarrow \text{Parse}(\text{SHAKE-128}(seed))$ |
| | $\mathbf{u} \leftarrow \mathbf{a}\mathbf{s}' + \mathbf{e}'$ |
| | $\mathbf{v} \leftarrow \mathbf{b}\mathbf{s}' + \mathbf{e}''$ |
| $\mathbf{v}' \leftarrow \mathbf{u}\mathbf{s}$ | $\xleftarrow{(\mathbf{u}, \mathbf{r})}$ |
| $k \leftarrow \text{Rec}(\mathbf{v}', \mathbf{r})$ | $\mathbf{r} \xleftarrow{\$} \text{HelpRec}(\mathbf{v})$ |
| $\mu \leftarrow \text{SHA3-256}(k)$ | $k \leftarrow \text{Rec}(\mathbf{v}, \mathbf{r})$ |
| | $\mu \leftarrow \text{SHA3-256}(k)$ |

Security analysis

- ▶ Consider RLWE instance as LWE instance
- ▶ Attack using BKZ
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- ▶ Dual attack: find short vector in dual lattice
- ▶ Length determines complexity and attacker's advantage ϵ

Post-quantum security

BCNS proposal

| Attack | BKZ block dim. b | $\log_2(\text{BKC})$ | $\log_2(\text{BPC})$ |
|--------------------------------|--------------------|----------------------|----------------------|
| Primal | 294 | 78 | 61 |
| Dual ($\epsilon = 2^{-128}$) | 230 | 62 | 48 |
| Dual ($\epsilon = 1/2$) | 331 | 89 | 69 |

A new hope

| Attack | BKZ block dim. b | $\log_2(\text{BKC})$ | $\log_2(\text{BPC})$ |
|--------------------------------|--------------------|----------------------|----------------------|
| Primal | 886 | 237 | 183 |
| Dual ($\epsilon = 2^{-128}$) | 658 | 176 | 136 |
| Dual ($\epsilon = 1/2$) | 1380 | 370 | 286 |

Against all authority

- ▶ Remember the optimization of fixed a ?
- ▶ What if a is backdoored?
- ▶ Parameter-generating authority can break key exchange
- ▶ “Solution”: Nothing-up-my-sleeves (involves endless dicussion!)

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 - ▶ Perform massive precomputation based on a
 - ▶ Use precomputation to break *all* key exchanges
 - ▶ Infeasible today, but who knows. . .
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- ▶ Solution in Newhope: Choose a fresh a every time
- ▶ Use SHAKE-128 to expand a 32-byte seed
- ▶ Server can cache a for some time (e.g., 1h)

Implementation

- ▶ Very fast multiplication in \mathcal{R}_q : use NTT
- ▶ Define message format:
 - ▶ Send polynomials in NTT domain
 - ▶ Eliminate half of the required NTTs

The protocol revisited

Parameters: $q = 12289 < 2^{14}$, $n = 1024$

Error distribution: ψ_8

Alice (server)

$seed \xleftarrow{\$} \{0, \dots, 255\}^{32}$

$\text{Parse}(\text{SHAKE-128}(seed))$

$\mathbf{s}, \mathbf{e} \xleftarrow{\$} \psi_8^n$

$\mathbf{b} \leftarrow \mathbf{a} \circ \text{NTT}(\mathbf{s}) + \text{NTT}(\mathbf{e}) \xrightarrow[2048\text{Bytes}]{m_a = \text{encodeA}(\mathbf{b}, seed)}$

$(\mathbf{u}, \mathbf{r}) \leftarrow \text{decodeB}(m_b)$

$\mathbf{v}' \leftarrow \text{NTT}^{-1}(\mathbf{u} \circ \mathbf{s})$

$k \leftarrow \text{Rec}(\mathbf{v}', \mathbf{r})$

$\mu \leftarrow \text{SHA3-256}(k)$

Bob (client)

$\mathbf{s}', \mathbf{e}', \mathbf{e}'' \xleftarrow{\$} \psi_8^n$

$(\mathbf{b}, seed) \leftarrow \text{decodeA}(m_a)$

$\mathbf{a} \leftarrow \text{Parse}(\text{SHAKE-128}(seed))$

$\mathbf{t} \leftarrow \text{NTT}(\mathbf{s}')$

$\mathbf{u} \leftarrow \mathbf{a} \circ \mathbf{t} + \text{NTT}(\mathbf{e}')$

$\mathbf{v} \leftarrow \text{NTT}^{-1}(\mathbf{b} \circ \mathbf{t} + \text{NTT}(\mathbf{e}''))$

$\mathbf{r} \xleftarrow{\$} \text{HelpRec}(\mathbf{v})$

$k \leftarrow \text{Rec}(\mathbf{v}, \mathbf{r})$

$\mu \leftarrow \text{SHA3-256}(k)$

$\xleftarrow[2048\text{ Bytes}]{m_b = \text{encodeB}(\mathbf{u}, \mathbf{r})}$

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 - ▶ Arithmetic on 16-bit and 32-bit integers
 - ▶ No division (/) or modulo (%) operator
 - ▶ Use Montgomery reductions inside NTT
 - ▶ Use ChaCha20 for noise sampling

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- ▶ AVX2 implementation:
 - ▶ Speed up NTT using vectorized double arithmetic
 - ▶ Use AES-256 for noise sampling
 - ▶ Use AVX2 for centered binomial
 - ▶ Use AVX2 for error reconciliation

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 - ▶ Use AVX2 for error reconciliation
- ▶ Microcontroller implementation (ongoing):
 - ▶ Cortex-M0
 - ▶ Cortex-M4

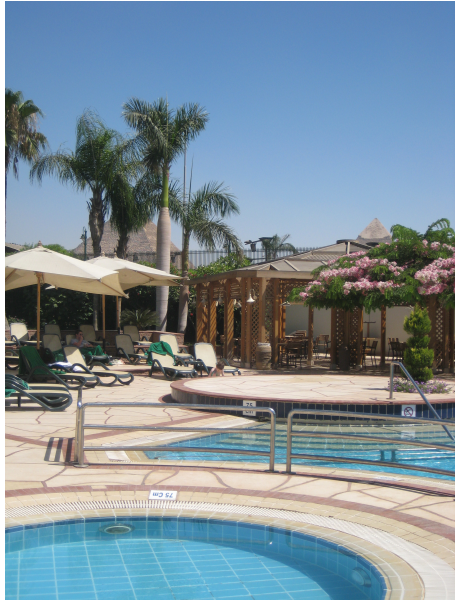
Performance

| | BCNS | Ours (C ref) | Ours (AVX2) |
|----------------------------------|-----------------------|----------------------|----------------------|
| Key generation (server) | $\approx 2\,477\,958$ | 265 968 (265 933) | 107 534 (107 385) |
| Key gen + shared key (client) | $\approx 3\,995\,977$ | 380 676 (380 936) | 126 236 (126 336) |
| Shared key (server) | $\approx 481\,937$ | 82 312 | 22 104 |

- ▶ Benchmarks on one core of an Intel i7-4770K (Haswell)
- ▶ BCNS benchmarks are derived from `openssl speed`
- ▶ Numbers in parantheses are average; all other numbers are median.
- ▶ Includes around 57 000 cycles for generation of `a` on each side

SPHINCS – stateless,
practical, hash-based,
incredibly nice,
collision-resilient
signatures

Daniel J. Bernstein
Daira Hopwood
Andreas Hülsing
Tanja Lange
Ruben Niederhagen
Louiza Papachristodoulou
Michael Schneider
Peter Schwabe
Zooko Wilcox-O'Hearn



Hash-based signatures

- ▶ Security relies only on secure hash function
 - ▶ Post-quantum
 - ▶ Reliable security estimates
- ▶ Fast (e.g., XMSS by Buchmann, Dahmen, Hülsing, 2011)
- ▶ Reasonably small keys, small signatures
- ▶ Stateful

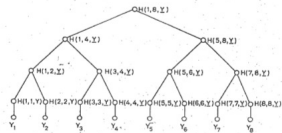
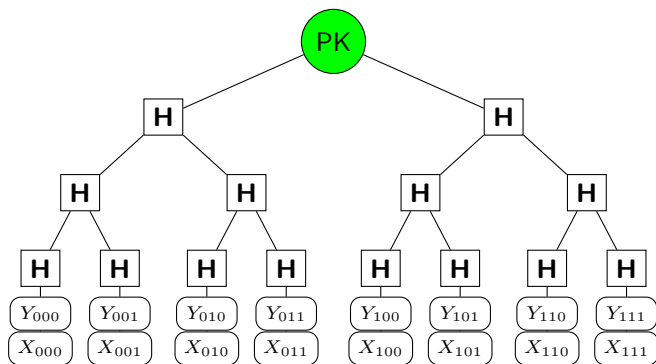


FIG. 1
AN AUTHENTICATION TREE WITH $n = 8$.

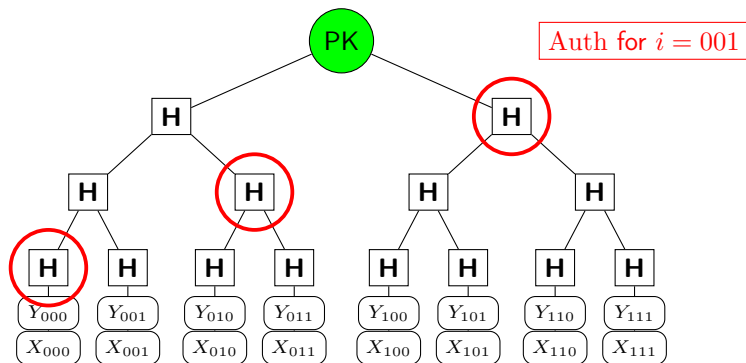
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Merkle Trees



- ▶ Merkle, 1979: Leverage one-time signatures to multiple messages
- ▶ Binary hash tree on top of OTS public keys

Merkle Trees



- ▶ Use OTS keys sequentially
- ▶ $SIG = (i, \text{sign}(M, X_i), Y_i, \text{Auth})$

About the state

- ▶ Used for *security*:
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- ▶ Used for *efficiency*:
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 - ▶ Multi-threading
 - ▶ Backups
 - ▶ Virtual-machine images
 - ▶ ...

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 - ▶ ...
- ▶ This is not even compatible with the *definition* of cryptographic signatures
- ▶ “Huge foot-cannon” (Adam Langley, Google)

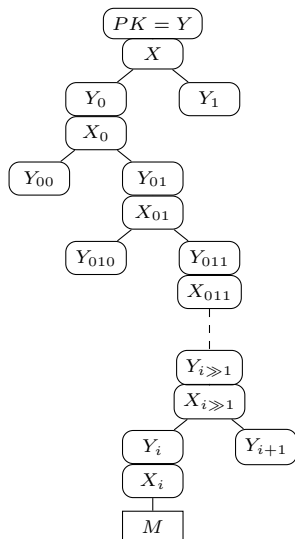
ELIMINATE



THE STATE

Stateless hash-based signatures

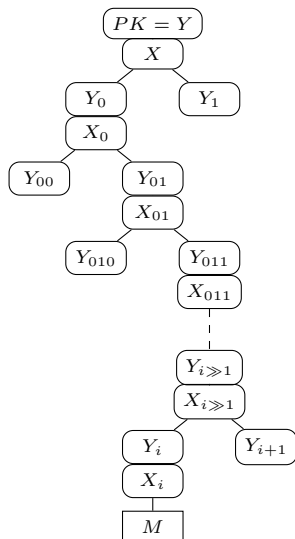
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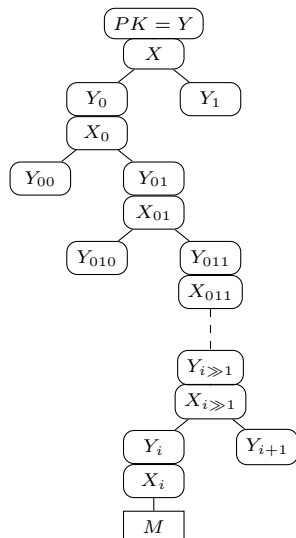
- ▶ For security
 - ▶ pick index i *at random*;
 - ▶ requires huge tree to avoid index collisions (e.g., height $h = 2\lambda = 256$).



Stateless hash-based signatures

Goldreich's approach: Security parameter $\lambda = 128$
Use binary tree as in Merkle, but...

- ▶ For security
 - ▶ pick index i *at random*;
 - ▶ requires huge tree to avoid index collisions (e.g., height $h = 2\lambda = 256$).
- ▶ For efficiency:
 - ▶ use binary *certification tree* of OTS;
 - ▶ all OTS secret keys are generated pseudorandomly.



It works, but signatures are painfully long

- ▶ 0.6 MB for Goldreich signature using short-public-key Winternitz-16 one-time signatures.
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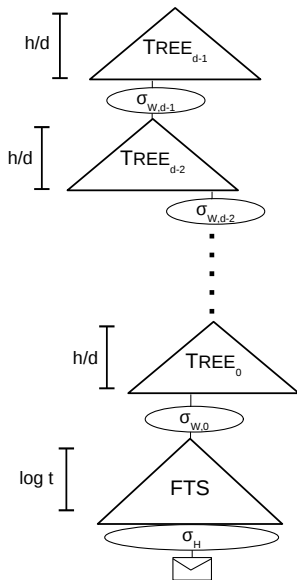
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 - ▶ 1.2 MB average package size.
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- ▶ Example:
 - ▶ HTTPS typically sends multiple signatures per page.
 - ▶ 1.8 MB average web page in Alexa Top 1000000.

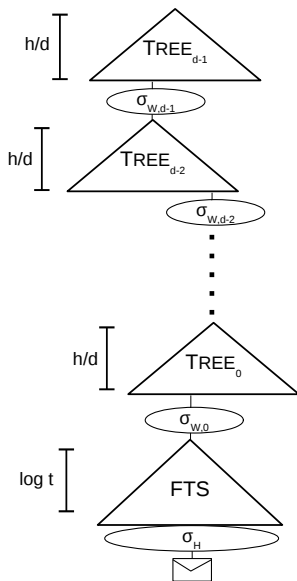
The SPHINCS approach

- ▶ Use a “hyper-tree” of total height h
- ▶ Parameter $d \geq 1$, such that $d \mid h$
- ▶ Each (Merkle) tree has height h/d
- ▶ (h/d) -ary certification tree



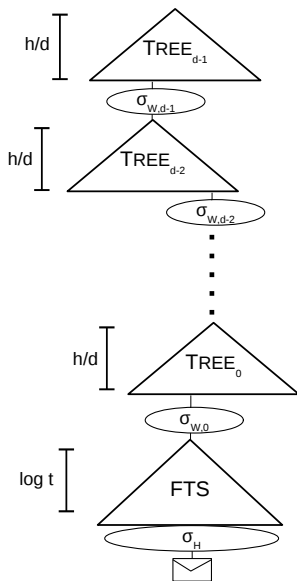
The SPHINCS approach

- ▶ Pick index (pseudo-)randomly
- ▶ Messages signed with *few-time* signature scheme
- ▶ Significantly reduce total tree height
- ▶ Require $\Pr[r\text{-times Coll}] \cdot \Pr[\text{Forgery after } r \text{ signatures}] = \text{negl}(n)$



The SPHINCS approach

- ▶ Designed to be collision-resilient
- ▶ Trees: MSS-SPR trees
- ▶ OTS: WOTS⁺
- ▶ FTS: HORST (HORS with tree)



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- ▶ $m = 512$ bit message hash (BLAKE-512)
- ▶ ChaCha12 as PRG

Cost of SPHINCS-256 signing

- ▶ Three main components:
 - ▶ PRG for HORST secret-key expansion to 2 MB
 - ▶ Hashing in WOTS and HORS public-key generation:
 $F : \{0, 1\}^{256} \rightarrow \{0, 1\}^{256}$
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- ▶ Full hash function would be overkill for F and H
- ▶ Construction in SPHINCS-256:
 - ▶ $F(M_1) = \text{Chop}_{256}(\pi(M_1||C))$
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- ▶ Use fast ChaCha12 permutation for π
- ▶ All building blocks (PRG, message hash, H , F) built from very similar permutations

SPHINCS-256 speed and sizes

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- ▶ 0.041 MB signature ($\approx 15\times$ smaller than Goldreich!)
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- ▶ Use $8\times$ parallel hashing, vectorize on high level
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SPHINCS-256 speed

- ▶ Signing: < 52 Mio. Haswell cycles (> 200 sigs/sec, 4 Core, 3GHz)
- ▶ Verification: < 1.5 Mio. Haswell cycles
- ▶ Keygen: < 3.3 Mio. Haswell cycles

Resources online

PQCRYPTO project: <https://pqcrypto.eu.org>

Newhope Paper: <https://cryptojedi.org/papers/#newhope>

Newhope Code: <https://cryptojedi.org/crypto/#newhope>

SPHINCS: <https://sphincs.cr.yp.to/>